



**UIA** Union Internationale des Avocats  
International Association of Lawyers  
Unión Internacional de Abogados



The Law Society  
of England and Wales



PETERS & PETERS

# Countering the Biggest Risks to Business

FRIDAY, JUNE 17, 2016



# LONDON

# UNITED KINGDOM



#UIABusiness

Seminar organised by the UIA  
with the support of the Law Society of England & Wales and Peters & Peters Law Firm

## Bringing together the world's lawyers

*For over 85 years, the Union Internationale des Avocats (UIA - International Association of Lawyers) has been defending the legal profession and facilitating international contacts, cooperation and the exchange of knowledge among lawyers, while respecting their cultural and professional diversity.*

Today, the UIA brings together several thousand members – lawyers or law professionals – and hundreds of bars, federations and associations.

## Join the UIA and become a member of the world's strongest network of lawyers

- You will participate in the work of the UIA Commissions in Business Law, Human Rights and General Practice and share your experience with that of professionals from over 110 countries.

- You will also be able to join forces with the UIA, which, each year, provides its support to lawyers who cannot freely practise their profession. Everywhere in the world where rights of defence are threatened or the proper administration of justice is hindered, the UIA will make your voice heard.

[www.uianet.org](http://www.uianet.org)

*Join the UIA during the seminar and benefit from a 50% discount on your membership fee.*

## Introduction

Businesses and financial institutions are faced with ever increasing regulatory and legal risks - both at a domestic and international level. A failure to adequately identify and address these can have significant consequences.

The seminar will consider how businesses and financial institutions navigate the increasingly complex landscape of money laundering regulations, sanctions and cybersecurity. The seminar will cover:

- Requirements for businesses and financial institutions to improve internal controls for money laundering and terrorist financing.
- The impact of the fourth Anti-Money Laundering Directive, with the inclusion of tax crimes as predicate offences for money laundering at the EU-level, and enhanced due diligence applying to a widened category of Politically Exposed Persons.
- Recent developments in the imposition and enforcement of EU and US sanctions and what due diligence corporates and financial institutions are required to carry out.
- Cyber-attacks, hacks and the emerging problem of cyber extortion (most often through Distributed Denial of Service attacks, malware or data theft), and what steps businesses and financial institutions are taking to protect their data and systems.
- Data protection, mandatory reporting of data security breaches and the likely impact on businesses and financial institutions.

**We will also be arranging a pre-seminar cocktail and a post seminar dinner. Please join us for an exciting weekend in London!**



Thursday | June | 16 | 2016

**Afternoon Visit of Criminal Court (Details To Be Finalised)**

**18:30 WELCOME COCKTAIL**

**The Law Society**  
113 Chancery Lane  
London WC2A 1PL, United Kingdom



Friday | June | 17 | 2016

**08:30 – 09:00 REGISTRATION OF PARTICIPANTS**

**St Bride Foundation**  
Bride Lane, Fleet St, London EC4Y 8EQ, United Kingdom

**09:00 – 09:15 WELCOME AND OPENING OF THE SEMINAR**

- **Jean-Jacques UETTILLER**, *UIA President, UGGC Avocats, Paris, France*
- **Maria CRONIN**, *Co-President of the UIA Criminal Law commission, Peters & Peters Solicitors LLP, London, UK*

**PART 1: MONEY LAUNDERING AND TERRORIST FINANCING**

There is an increasing focus globally on holding financial institutions accountable for deficiencies in their Anti-Money Laundering (AML) systems. More than ever, financial institutions need not only to be aware of and address domestic regulatory requirements, but also the AML regulations in countries where they maintain a presence. The fourth Anti-Money Laundering Directive will introduce a number of key changes, which will primarily apply on the financial sector including banks, trust or company service providers, lawyers and accountants.

**09:15 – 10:15 The Key Risks Businesses and Financial Institutions Face from Increased Anti-Money Laundering Requirements**

**Moderator:** • **Maria CRONIN**, *Co-President of the UIA Criminal Law commission, Peters & Peters Solicitors LLP, London, UK*

**Speakers:**

- **Daniel FISCHER**, *BKL Fischer Kuehne Lang, Bonn, Germany*
- **Robbert-Jan BOSWIJK**, *AKD Advocaten, Amsterdam, The Netherlands*
- **Héloïse RORDORF**, *Lalive SA, Geneva, Switzerland*

**10:15 – 10:45 COFFEE BREAK**

**10:45 – 12:00 Privacy Considerations: the Interaction between Privacy, Money Laundering and Terrorist Financing**

**Moderator & speaker:** • **Stéphane BONIFASSI**, *Paris, France*

**Speakers:**

- **Ian DE FREITAS**, *Berwin Leighton Paisner, London, UK*
- **Sébastien FANTI**, *Lexing Switzerland, Sion, Switzerland*

**PART 2: INTERNATIONAL SANCTIONS (EU, UN AND OFAC)**

Sanctions pose a unique challenge to businesses and financial institutions. Sanctions are political; this means that different countries will take different approaches and may impose different levels of sanctions. Businesses and financial institutions must comply with the complex restrictions that these impose, whether the sanctions are export control orders, asset freezes, designated individuals and entities and so forth.

**12:00 - 13:00 Key Note Speaker**

• **Professor Dr. Kern ALEXANDER**, *Chair for Banking and Financial Market Regulation, University of Zurich, Switzerland*

Professor Alexander authored *Economic Sanctions-Law and Public Policy*, which considers the governance and regulatory aspects of financial sanctions. In addition to his numerous academic publications, he also gave evidence on the impact of economic sanctions to the UK House of Lords Select Committee on Economic Affairs in 2007.

**13:00 – 14:30 LUNCH**

**14:30 – 15:45 The Complexities Businesses and Financial Institutions Face when Trying to Comply with International Sanctions Regimes and the Risk of Getting it Wrong**

**Moderator:** • **Dr. Jonathan BREWER**, *Former UN Panel of Experts on Iran and Visiting Professor, King's College London, UK*

**Speakers:**

- **Rachna GOKANI**, *Barrister, QEB Hollis Whiteman, London, UK*
- **Véronique MOISSINAC MASSENAT**, *Paris, France*
- **Irene MARTINEZ**, *Cuatrecasas, Gonçalves Pereira, Madrid, Spain*
- **Rebecca MEADS**, *Peters & Peters Solicitors LLP, London, UK*

**15:45 – 16:15 COFFEE BREAK**

**PART 3: CYBER SECURITY**

Businesses and financial institutions are no longer able to ignore the threat of cybercrime. The past few years have seen an unprecedented number of cyberattacks, high profile hacks, and other crimes committed online. The legal and reputational consequences for businesses and financial institutions can be catastrophic. As cybercriminals become more aggressive and the technology they employ grows in sophistication, keeping pace seems an insurmountable challenge.

**16:15 – 17:30 The Challenges of Countering Cyber Risks and the Legal and Reputational Consequences for Failure in doing so**

**Moderator:** • **To be confirmed**

**Speakers:**

- **Bob BURLS**, *EMEA Leader Incident Response Services at Symantec, Reading, UK*
- **Monty RAPHAEL QC**, *Peters & Peters Solicitors LLP, London, UK*
- **Philippe GOOSSENS**, *Altana, Paris, France*
- **Agnieczka WIERCINSKA-KRUZEWSKA**, *WKB Wierciński Kwieciński Baehr, Warsaw, Poland*

**20:00 OPTIONAL DINNER**

**Coq d'Argent Restaurant**  
N° 1 Poultry  
London EC2R 8EJ, United Kingdom

# Organising Committee

## Maria CRONIN

Co-President of the UIA Criminal Law commission  
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## Marc GALLARDO MESEGUER

President of the UIA Privacy & Rights of the Digital Person Commission  
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Barcelona, Spain  
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E marc.gallardo@lexing.es

## General Information

### SEMINAR VENUE

**ST BRIDE FOUNDATION**  
Bride Lane, Fleet St  
London EC4Y 8EQ, United Kingdom  
T +44 (0)20 7353 3331

### REGISTRATION FEES

	UIA Member		Non Member	
	≤ 17.05.2016	> 18.05.2016	≤ 17.05.2016	> 18.05.2016
<b>STANDARD REGISTRATION</b>	€ 295*	€ 345*	€ 345*	€ 395*
<b>YOUNG LAWYER (&lt;35)**</b>	€ 245*	€ 295*	€ 295*	€ 245*

\* The VAT (20%) can be applied to the amount here above according to the European Directive 2006/112/CE of November 28, 2006. For more information, please contact the UIA.

\*\* Please attach proof of age to the registration form to benefit from young lawyers fee.

These fees cover participation in the welcome cocktail, participation in the seminar, coffee breaks, lunch on Friday, June 17, 2016, as well as the documentation of the seminar. The dinner on Friday night, June 17, 2016 is optional and at additional charge. Please note that the number of places at the seminar is limited. The organisers reserve the right to refuse registrations in the event of excess applications.

### CONTINUING LEGAL EDUCATION

Every participant attending the seminar will receive a "Certificate of Participation" at the end of the event that may be used for obtaining "Credits" for "Continuing Legal Education" – "Continuing Professional Development" purposes, depending on national rules. For more information, please contact the UIA.

### LANGUAGE

The working languages will be **English**.

### HOTEL ROOM RESERVATION

A limited number of rooms has been pre-booked at a preferential rate. Reservations should be made directly through the hotel. Credit card details must be given in order to secure your reservation. Please note that the number of rooms is limited. We recommend that you proceed with your reservations as soon as possible.

## HOTEL ROOM RESERVATION

## CANCELLATION CONDITIONS

## VISAS

## GENERAL CONDITIONS

## FORMALITIES

## FORCE MAJEURE

## HEALTH

### Hotels

#### Club Quarters St Paul

At 300 meters from the seminar venue  
24 Ludgate Hill  
London EC4M 7DR- United Kingdom  
T +44 20 7457 5800  
F +44 20 7451 5900  
E memberservices@clubquarters.com  
www.clubquartershotels.com

#### Apex Temple Court Hotel

At 250 meters from the seminar venue  
1-2 Serjeants' Inn, Fleet Street  
London EC4Y 1LL, United Kingdom  
T +44 80 0049 8000  
E London.Groups@apexhotels.co.uk  
www.apexhotels.co.uk

### Rates

Club room	£165.00
Standard room	£177.00
Superior room	£196.00

Additional guest per room: £12.00 + taxes  
**Breakfast and taxes (currently @ 20%) NOT included**  
Reservations should be made:  
• **By phone: +44 20 7457 5800** indicating that you are part of the **Law Society group** and using the following code **UI1606**  
• **Online** following the instructions indicated on the seminar Website: **www.uianet.org**

#### Thursday June16:

**£239.00** for Single/Double occupancy

#### Friday June17:

**£186.00** for Single/Double occupancy

**Taxes (currently @ 20%) included**

**Breakfast: £15.00/person/day**

• **By phone: +44 80 0049 8000** indicating that you are part of the **UIA group** and using the following code **S16LON**

• **Online** following the instructions indicated on the seminar Website: **www.uianet.org**

All cancellations will be subject to a 50% deduction and will have to be sent in writing, to be received by the Union Internationale des Avocats **no later than May 17, 2016**. No refund will be made for cancellations received after this date.

Anyone who requires a visa invitation letter in order to attend the seminar should register and pay their registration fees **no later than May 17, 2016** to ensure there is enough time to obtain a visa.

All cancellations will be refunded in full, minus €50 + VAT to cover administrative costs, provided that the UIA has received the registration documents and **total** registration fees **no later than May 17, 2016**.

If you register after this date, only 50% of the amount paid minus €50 + VAT to cover administrative costs will be refunded for cancellations due to visa refusals.

All cancellations due to a visa refusal must be sent in writing and reach the UIA **before the seminar**. Cancellations must be accompanied by a **proof of visa refusal**.

If your visa is issued after the seminar date or if you do not have proof of visa refusal, you will not be entitled to a refund.

All registrations received by the Union Internationale des Avocats (UIA) along with the full payment of fees corresponding to the events selected will be confirmed in writing.

The UIA reserves the right to cancel or postpone the seminar to a later date, change the seminar venue and/or programme, make any corrections or modifications in the information published in the seminar programme and cancel any invitation to participate in the seminar, at any time and at their entire discretion, without having to provide any reasons for the same.

Neither the UIA, nor any of its managers, employees, agents, members or representatives shall be held responsible for any loss or damage, of any nature, suffered (directly or indirectly) by a delegate, accompanying person or a third party following any cancellations, changes, postponements or modifications.

**The UIA strongly advises participants to subscribe to modifiable and/or refundable services, as well as to take out a cancellation insurance.**

Neither the UIA, nor any of its managers, employees, agents, members or representatives shall be held responsible for any loss or damage, of any nature whatever, suffered (directly or indirectly) by a delegate or accompanying person, except in case of death or personal injury due to gross negligence by the UIA.

The contractual relations between the UIA and each participant (delegate or accompanying person) in relation to the seminar are subject to French law and jurisdiction, to the exclusion of any other law. Paris is the city of jurisdiction.

It is the responsibility of participants to ensure compliance with police, customs and health formalities for their journey. Participants unable to take part in the seminar because of their inability to take a flight or any other means of transportation due to being unable to provide the documents required (passport, visa, vaccination certificate, etc.) cannot claim any reimbursement.

“Force majeure” means any events external to the parties, of both an unforeseeable and insurmountable nature that prevents either the client or the participants, or the agency or service providers involved in organising the seminar, from executing all or part of the obligations provided for in the present agreement. By express agreement, such will be the case in the event of a strike affecting the means of transport, hotel staff, air traffic controllers, an insurrection, a riot or any prohibition whatever decreed by Governmental or public authorities.

It is expressly agreed that for the parties, a case of force majeure would suspend the execution of their reciprocal obligations. At the same time, each of the parties shall bear the burden of all the expenses incumbent upon them, resulting from the case of force majeure.

The organisers decline any responsibility in case of any health problems existing prior to the seminar that may lead to complications or be aggravated during the entire period of the stay: pregnancy, cardio-vascular problems, any allergies, special diets, any disorders under treatment and not yet consolidated on the day the seminar starts, psychic or mental or depressive illness, etc. (Non exhaustive list).

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## Réunir les avocats du monde

*Depuis plus de 85 ans, l'Union Internationale des Avocats (UIA) défend la profession d'avocat et stimule les contacts internationaux, la coopération et l'échange de connaissances entre avocats, dans le respect de leur diversité culturelle et professionnelle.*

Aujourd'hui, l'UIA regroupe plusieurs milliers de membres – avocats ou professionnels du droit – et des centaines de barreaux, fédérations et associations.

## Rejoignez l'UIA et devenez membre du plus solide réseau d'avocats du monde

- Vous pourrez prendre part aux travaux des commissions de l'UIA en Droit des Affaires, Droits de l'Homme et Pratique Générale et partager votre expérience avec celles de professionnels venus de plus de 110 pays.
- Vous pourrez également vous mobiliser aux côtés de l'UIA qui, chaque année, apporte son soutien aux avocats qui ne peuvent exercer librement leur profession. Partout dans le monde où les droits de la défense sont menacés et où le bon fonctionnement de la justice est entravé, l'UIA fera entendre votre voix.



## Reunir a los abogados del mundo

*Desde hace más de 85 años, la Union Internationale des Avocats (UIA – Unión Internacional de Abogados) defiende la abogacía y promueve los contactos internacionales, así como la cooperación y el intercambio de conocimientos entre abogados, todo ello, en el respeto de su diversidad cultural y profesional.*

Hoy en día, la UIA reúne a varios miles de miembros – abogados o profesionales del derecho – y a centenas de colegios de abogados, federaciones y asociaciones.

- Podrá participar en los trabajos de las comisiones de la UIA en Derecho de los Negocios, Derechos Humanos y Práctica General, y compartir su experiencia con la de los profesionales venidos de más de 110 países.
- Asimismo, podrá movilizarse al lado de la UIA que, cada año, apoya a los abogados que no pueden ejercer libremente su profesión. En cualquier lugar del mundo donde los derechos de la defensa se vean amenazados y donde el buen funcionamiento de la justicia se vea entrabado, la UIA hará que su voz se escuche.

**Únase a la UIA y  
convírtase en miembro  
de la red de abogados  
internacional más sólida**

[www.uianet.org](http://www.uianet.org)

# Countering the Biggest Risks to Business

Friday, June 17, 2016

**LONDON, UNITED KINGDOM**

## REGISTRATION FORM

Register online at: [www.uianet.org](http://www.uianet.org)

or please complete and return this form, by email, fax or post, to:

**UNION INTERNATIONALE DES AVOCATS**

25 rue du Jour, 75001 Paris, France

Tel: +33 1 44 88 55 66 ■ Fax: +33 1 44 88 55 77 ■ Email: [uiacentre@uianet.org](mailto:uiacentre@uianet.org)



Register online

**UIA INDIVIDUAL MEMBERS: M I - - - - -**

Please specify your membership number (Please check your membership card or membership fees)

Family Name: .....

First Name: .....

Firm: .....

Address: .....

Post Code: ..... City: .....

Country: .....

Tel: ..... Fax: .....

Email: .....

Date of Birth: .....

EU VAT ID – Number: .....

Special requests (special diet, allergies, handicap...): .....

Arrival/departure times & flight numbers: .....

Hotel: .....

### A. SEMINAR REGISTRATION FEES

	UIA Member		Non Member	
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## B. SEMINAR SOCIAL ACTIVITIES

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Please indicate below whether you plan to attend the following events **included** in the cost of your registration.

Welcome Cocktail – Thursday, June 16, 2016

Lunch – Friday, June 17, 2016

## C. OPTIONAL ACTIVITY

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(**not included** in the registration fees)

Optional Dinner – Friday, June 17, 2016

• Please book ..... person(s) for the dinner

€ 80 (Excl. VAT) x \_\_\_ / pers

Total (C) (Excl. VAT) € .....

## D. TOTAL

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TOTAL (A) Excl. VAT – Registration Fees € .....

TOTAL (C) Excl. VAT – Optional Activity € .....

TOTAL (A+C) Excl. VAT € .....

VAT if applicable (20%)\* € .....

TOTAL (A+C+VAT) € .....

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## E. CANCELLATION CONDITIONS

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I, the Undersigned, confirm that I have read and accepted the **cancellation conditions** as well as the **general conditions** given on page 5 of the registration programme. My registration will only be taken into account on receipt of the payment.

Participants are aware that their image and/or voice may be photographed, recorded or filmed throughout the duration of the seminar. They hereby assign to the UIA, with the signature of this form, the right to exploit, reproduce and disseminate the images and recordings by all means, both known and unknown, using all media, for an unlimited term and free of charge.

## F. METHOD OF PAYMENT

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By cheque in € payable to the UIA, addressed to: UIA – 25 rue du Jour – 75001 Paris – France

By bank transfer in €, without charges to the payee, in favour of the Union Internationale des Avocats, quoting “2016 London Seminar”, to the following bank and account:

**Société Générale – Paris Elysées Entreprise**  
**91 avenue des Champs Elysées – 75008 Paris – France**  
**BIC / SWIFT N°: SOGEFRPP**  
**IBAN: FR76 3000 3033 9200 0503 4165 164**

*Kindly attach a copy of your bank transfer order to your registration form*

By credit card:       Visa       Mastercard

Card N°: \_ \_ \_ \_ \_

Expiry date: \_ \_ / \_ \_      3 digits: \_ \_ \_

Name of card holder: .....

I authorise the Union Internationale des Avocats to debit the above mentioned credit card in the amount of € (EUR) .....

Date: ..... / ..... / .....

Signature: .....